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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/662,718 | 09/15/2003 | Nobuyuki Ito | CU-3360 | 1336 |

7590

02/08/2005

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EXAMINER

CLEVELAND, MICHAEL B

ART UNIT

PAPER NUMBER

1762

DATE MAILED: 02/08/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | | |
|------------------------------|------------------------|---------------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 10/662,718 | ITO ET AL. | |
| | Examiner | Art Unit | |
| | Michael Cleveland | 1762 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 December 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) 7-26 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Election/Restrictions

1. Applicant's election of Group I, claims 1-6 in the reply filed on 12/14/2004 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).
2. Claims 7-26 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 12/14/2004.

Specification

3. The abstract of the disclosure is objected to because it is too long. Correction is required. See MPEP § 608.01(b).
4. Applicant is reminded of the proper language and format for an abstract of the disclosure. The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an

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international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Kawase (U.S Patent 6,730,357, hereafter '357).

'357 teaches a method for manufacturing an organic EL display by an ink jet method (col. 1, lines 17-25), wherein a uniform organic EL layer is formed by sequentially continuously carrying out processes of discharge-placing at least an organic EL material in a form of a solution on a substrate; and drying the organic EL material in a form of ink placed on the substrate by heating (col. 7, lines 3-67).

7. Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Fujita et al. (U.S Patent 6,720,029, hereafter '029).

'029 teaches a method for manufacturing an organic EL display by an ink jet method (Example 1), wherein a uniform organic EL layer is formed by sequentially continuously carrying out processes of discharge-placing at least an organic EL material in a form of a solution on a substrate; and drying the organic EL material in a form of ink placed on the substrate by heating (col. 4, lines 20-34).

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 2-3 and 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kawase '357 in view of Roitman et al. (U.S. Patent 6,137,221, hereafter '221) and Noguchi et al. (U.S. Patent 5,606,356, hereafter '356).

'357 is discussed above, but does not explicitly teach relatively moving the substrate compare to the ink-jet nozzle and an infrared heater over the substrate. However, '221 teaches

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that the cost of fabricating EL devices may be reduced by fabricating them on roll-to-roll equipment (i.e., where the substrate moves past or through the coating equipment), which is compatible with printing techniques (col. 4, lines 53-65) including ink-jet printing (col. 5, lines 1-7). '356 teaches that heat can be provided to a moving substrate that receives ink-jet printing by use of an infrared heater above the substrate (col. 9, lines 11-19). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have formed the EL device of '357 on roll-to-roll equipment because '221 teaches that such production reduces the cost, and to have provided the heat for drying via an infrared heater because '356 teaches that such is a suitable method of providing heat to ink-jet receiving substrates.

10. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kawase '357 in view of Pham et al. (U.S. Patent Application Publication 2002/0127344, hereafter '344).

'357 is discussed above, but does not explicitly teach that the temperature of the substrate does not rise. '344 teaches that the substrate may already be heated at the time of deposition to accelerate the evaporation of the solvent [0006]. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have deposited the ink of '357 on an already heated substrate in order to have accelerated the drying process. Thus, the temperature of the substrate would not rise during application of the ink, particularly in view of the teachings of '357 that the substrate temperature has an effect on the process (col. 7, lines 31-33), thereby motivating keeping the substrate temperature constant in order to ensure process repeatability.

11. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kawase '357 in view of Mian et al. (U.S. Patent 6,319,469, hereafter '469).

'357 is discussed above, but does not explicitly teach that the heater is a Peltier element. However, '357 is open to the use of other heating mechanisms (col. 7, lines 62-63). '469 teaches that Peltier heat elements are operative for heating (col. 50, lines 53-59). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a Peltier heat element as the particular heater of '357 with a reasonable expectation of

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success because '469 teaches that it is a suitable tool for providing heat. The selection of something based on its known suitability for its intended use has been held to support a *prima facie* case of obviousness. *Sinclair & Carroll Co. v. Interchemical Corp.*, 325 U.S. 327, 65 USPQ 297 (1945). See MPEP 2144.07.


Conclusion

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Gordon et al. (U.S. Patent 4,811,038) and Ushirogouchi et al. (U.S. Patent Application Publication 2003/0231234) are cited as examples of heating ink jet substrates.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Cleveland whose telephone number is (571) 272-1418. The examiner can normally be reached on Monday-Thursday, 7-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shrive Beck can be reached on (571) 272-1415. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Michael Cleveland
Primary Examiner
Art Unit 1762

2/3/2005